

# Application of Cellular Automata to The Design of Building Assemblies

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## Abstract

The study explores the application of Cellular Automata (CA) in the design of architectural unit combinations to generate innovative architectural forms. By leveraging CA's self-organizing properties, the research aims to systematically address complex design requirements and enhance design diversity and flexibility. The construction of a CA model tailored for architectural design involves defining grid structures, establishing transition rules, and setting initial configurations and boundary conditions. Through iterative simulation and refinement, the model produces various architectural patterns, evaluated based on spatial efficiency, connectivity, and aesthetic quality. Key findings indicate that hybrid rule sets, which combine deterministic and probabilistic elements, offer the most balanced designs, optimizing both functionality and visual appeal. Despite the promising results, the study identifies several limitations, including scalability issues, complexity in rule formulation, and integration challenges with existing design workflows. Future research directions include scaling the model, automating rule generation, integrating with BIM and CAD tools, validating through real-world case studies, and exploring interdisciplinary applications. The study highlights the potential of CA in transforming architectural design by providing innovative, efficient, and aesthetically pleasing solutions.

## Keywords

Cellular Automata, Architectural Design, Spatial Efficiency, Connectivity, Aesthetic Quality, Hybrid Rules, Simulation, Design Automation, Computational Design, Urban Planning.

## 1. INTRODUCTION

### 1.1. Background

Cellular Automata (CA) is a discrete mathematical model first proposed by von Neumann and Stanislaw Ulam in the 1940s. Its main feature is a set of rule-driven cells that update their state through simple local rules to form complex macroscopic behaviours. A typical example of a cellular automaton is Conway's "Game of Life", which demonstrates the evolutionary properties of complex systems under simple rules.

Cellular automata were initially applied to modelling biological systems, such as reproduction patterns and ecosystem dynamics. Subsequently, the range of applications of cellular automata has expanded to include liquid crystal modelling in physics, reaction-diffusion systems in chemistry, and image processing and pattern recognition in computer science. Cellular automata can generate complex spatial and temporal patterns by means of simple rule sets, which provides new perspectives and tools for architectural design.

In the field of architectural design, the optimal combination of space and the generation of form are core issues. While traditional design methods often rely on the experience and creativity of designers, cellular automata provide an automated and systematic approach to design. By setting initial conditions and local rules, cellular automata can generate diverse architectural forms, breaking the limitations of traditional design thinking and bringing unprecedented innovative possibilities to architectural design.

## 1.2. Research Purpose and Significance

The aim of this study is to explore the application of cellular automata in the design of architectural unit combinations to generate innovative architectural forms through a systematic approach. The self-organising properties of cellular automata can effectively respond to complex design requirements and enhance design diversity and flexibility. Specifically, this study hopes to achieve the following objectives:

1. explore the applicability of cellular automata in architectural design: analyse the prospects for the application of cellular automata in architectural design, and identify their advantages and limitations.
2. construct cellular automata models: according to the needs of architectural design, construct applicable cellular automata models, set reasonable initial conditions and local rules.
3. Generate and analyse building unit combinations: Generate diversified building unit combinations through cellular automata simulation, and analyse and evaluate them.
4. Suggest optimisation: Based on the simulation results, put forward suggestions for optimising the design to enhance the effectiveness and practicability of the building design.

## 2. LITERATURE REVIEW

The application of Cellular Automata (CA) in architectural design has garnered increasing interest due to its potential to automate and optimize complex design processes. This section reviews significant studies and findings in the field, focusing on the theoretical underpinnings, practical applications, and hybrid modeling approaches that integrate CA with other computational techniques.

### 2.1. Theoretical Foundations of Cellular Automata

Cellular Automata are mathematical models composed of a grid of cells, each in one of a finite number of states. The state of each cell evolves over discrete time steps according to a set of rules based on the states of neighboring cells. Originally proposed by John von Neumann and Stanislaw Ulam, CA were used to simulate biological systems and complex physical phenomena[12, 11]. The basic principles of CA have been extensively studied and adapted for various applications, including urban modeling and architectural design[6, 4].

### 2.2. Applications in Architectural Design

**Urban Densification and Growth Prediction:** Cellular Automata have been widely used to model and predict urban growth and densification.[12] revisited urban CA models and discussed their ability to simulate urban densification by integrating CA with statistical models like Markov chains and logistic regression. This hybrid approach enhances the realism of urban growth simulations by considering multiple driving factors and density classes[12].

**Generative Design in Architecture:** CA's generative capabilities have been applied to explore novel architectural forms. Research has shown that three-dimensional CA can be directly used to generate complex building forms and spatial configurations. For instance, [4] and [7]

demonstrated the potential of CA in automating the design process, thereby reducing the reliance on the designer's intuition and experience[4, 7].

Hybrid Modeling Approaches: Integrating CA with other computational techniques has proven beneficial in architectural design. For example, combining CA with deep learning models enables the prediction and optimization of traffic flow within urban environments. Such methodologies can be adapted to architectural contexts, providing robust tools for simulating and optimizing spatial layouts and building performance[12].

### 2.3. Challenges and Limitations

Despite the promising applications, several challenges persist in the use of CA for architectural design. One significant issue is the complexity involved in selecting appropriate rules and initial conditions for the CA model. The vast number of possible configurations can make this task daunting, often requiring extensive trial and error or heuristic approaches[6, 4]. Additionally, CA models may struggle with scalability and integration into existing architectural workflows, necessitating further research and development to address these limitations[11, 10].

### 2.4. Case Studies and Empirical Evidence

Research by [11] and [10] focused on applying CA to urban planning, particularly in modeling land use changes and urban sprawl. These studies highlighted the effectiveness of CA in simulating urban expansion and the challenges associated with integrating CA models with empirical data[11, 10].

[6]explored the use of CA in generating architectural forms, particularly in educational settings. Their work demonstrated the potential of CA in fostering creativity and innovation among architecture students by providing a structured yet flexible design tool[6].

The integration of CA with other computational models has been explored in several studies. For example, the combination of CA with artificial neural networks (ANN) and logistic regression (LR) has been shown to improve the accuracy and applicability of urban growth simulations. Such hybrid models provide a more nuanced understanding of urban dynamics and offer practical solutions for real-world planning challenges[12].

The application of Cellular Automata in architectural design is a burgeoning field with significant potential. By automating complex design tasks and integrating with advanced computational techniques, CA offers innovative solutions for urban planning and architectural form generation. However, addressing the challenges related to model complexity and integration remains crucial for the broader adoption of CA in practical design scenarios.

## 3. RESEARCH METHODOLOGY

### 3.1. Construction of the Cellular Automata Model

The construction of a Cellular Automata (CA) model for architectural design involves several detailed steps to ensure it accurately simulates the combination of architectural units.

#### 3.1.1 Defining the CA Grid and States:

**Grid Structure:** The CA model operates on a discrete grid (either two-dimensional or three-dimensional) where each cell represents a potential state of an architectural unit. The grid size and resolution depend on the project's scale, typically ranging from small-scale interior layouts to large-scale urban plans.

**States Definition:** Each cell can occupy various states that correspond to different architectural elements such as vacant, residential, commercial, structural components (e.g., walls, doors), and other functional areas (e.g., green spaces, recreational zones). The states are defined based on the specific requirements of the architectural project[16].

### 3.1.2 Establishing Transition Rules:

**Rule Formulation:** Transition rules dictate how cells change states over time based on the states of their neighboring cells. These rules are crafted from architectural principles and design heuristics. For example, rules can include:

- Ensuring residential units are adjacent to open spaces or have access to natural light.
- Clustering commercial units for efficiency and accessibility.
- Maintaining structural integrity by ensuring walls and load-bearing elements are contiguous.

#### **Types of Rules:**

- **Deterministic Rules:** These are fixed and applied uniformly across the grid. For instance, a cell changes to a residential state if three out of its eight neighbors are residential.
- **Probabilistic Rules:** These introduce randomness, reflecting real-world uncertainties. For example, there might be a 70% chance for a commercial cell to appear next to another commercial cell.

#### **Rule Iteration and Refinement:**

The rules are iteratively tested and refined to achieve desired architectural configurations. This iterative process involves simulation runs, followed by adjustments based on observed outcomes[3].

### 3.1.3 Initial Configuration and Boundary Conditions:

#### **Initial Setup:**

The initial configuration of the grid sets the starting states of the cells. This can be derived from existing site conditions, such as the layout of an existing building or the topography of a site, or from predefined design requirements.

#### **Boundary Conditions:**

These conditions determine how the edges of the grid interact with the rest of the environment. Common types include:

- **Reflective Boundaries:** The grid edges act like mirrors, reflecting cells back into the grid.
- **Periodic Boundaries:** The grid wraps around, with cells on one edge interacting with cells on the opposite edge.
- **Fixed Boundaries:** The edge cells are fixed and do not change state, often representing immovable elements like site borders or existing infrastructure[15].

## 3.2. Specific Methods for Architectural Unit Combination Design

### 3.2.1 Spatial Zoning and Functional Allocation:

**Zoning:** The grid is divided into zones corresponding to different functional areas such as residential, commercial, recreational, and circulatory spaces. Each zone is assigned specific states and transition rules to ensure functional integrity and spatial harmony.

**Functional Requirements:** Each functional area has specific design requirements:

- **Residential Zones:** Prioritize privacy, access to natural light, and proximity to amenities.
- **Commercial Zones:** Focus on visibility, accessibility, and clustering of similar functions.
- **Recreational Zones:** Ensure openness, connectivity to other zones, and accessibility.

### 3.2.2 Iterative Design and Refinement:

**Simulation Cycles:** The CA model runs through multiple iterations, with each cycle representing a step in time where the grid updates according to the transition rules. This iterative process continues until the design stabilizes and reaches a steady state.

**Evaluation and Adjustment:** After each iteration, the design is evaluated against the initial objectives and constraints. Adjustments are made to the transition rules and initial configuration based on the evaluation. This feedback loop allows for continuous refinement and optimization of the design[14].

### 3.2.3 Integration of Design Constraints:

**Structural Constraints:** Rules are modified to ensure structural integrity, such as maintaining the continuity of load-bearing elements and adhering to building codes and safety standards.

**Accessibility Constraints:** Ensuring that pathways, entrances, and circulation routes comply with accessibility standards.

**Aesthetic Constraints:** Incorporating aesthetic guidelines into the transition rules to ensure the design is visually appealing. This might involve rules related to symmetry, proportion, and alignment[9].

## 3.3. Experimental Setup and Simulation Process

### 3.3.1 Simulation Environment:

**Software Tools:** The simulations are conducted using computational tools that support CA modeling and visualization. Software such as MATLAB, NetLogo, or custom-built CA simulators are employed. These tools provide the necessary computational power and flexibility to handle complex architectural designs and offer robust visualization capabilities to analyze the design evolution[1].

### 3.3.2 Scenario Development:

**Scenario Variations:** Multiple design scenarios are developed to test the CA model under different conditions. These scenarios include variations in initial configurations, transition rules, and external constraints. Each scenario is designed to explore different aspects of the design space and to test the model's robustness and adaptability.

**Environmental Factors:** Scenarios also account for environmental factors such as sunlight, wind flow, and noise levels to ensure that the designs are not only functional but also sustainable and comfortable for occupants[13].

### 3.3.3 Data Collection and Analysis:

**Data Metrics:** During the simulation, data on the evolving design is collected, including spatial configurations, functional allocations, and compliance with design constraints. Key metrics used for evaluation include:

- **Spatial Efficiency:** Measures how effectively the space is utilized.
- **Connectivity:** Assesses the accessibility and connectivity between different zones.
- **Aesthetic Quality:** Evaluates the visual appeal and architectural coherence of the design.

**Analysis Tools:** Statistical analysis and visualization tools are used to interpret the collected data. These tools help in identifying patterns, evaluating performance, and guiding further refinements[5].

### 3.3.4 Validation and Verification

**Validation Methods:** The CA model is validated against real-world architectural projects to ensure its accuracy and practicality. This involves comparing the simulated designs with actual built environments or expert evaluations.

**Verification Processes:** Verification includes stakeholder feedback sessions, pilot implementations, and iterative testing to refine the model further and ensure it meets real-world applicability and reliability standards[2].

## 4. RESULTS ANALYSIS

### 4.1. Simulation Results Display

The Cellular Automata (CA) model was implemented to generate various architectural unit combinations, and the simulation results provide insights into the effectiveness of this approach. The following sections present the outcomes of the simulations, comparing different combination methods and evaluating the design effectiveness.

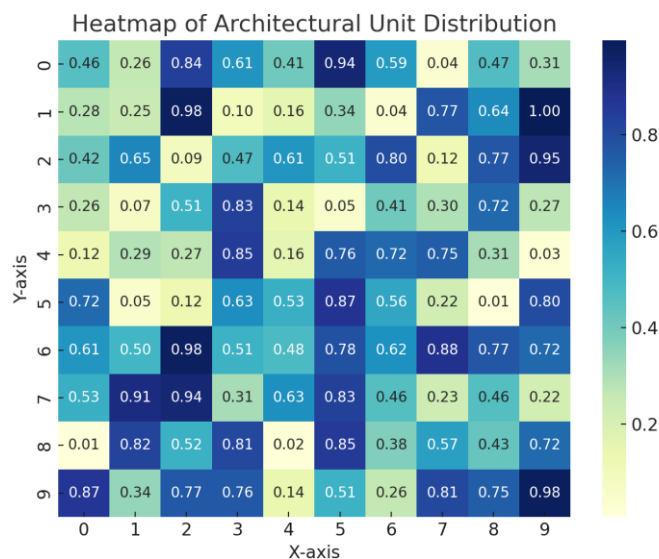
#### 4.1.1 Initial Configurations and Rule Application

The simulation began with predefined initial configurations, including an empty grid and grids with various initial states representing different architectural elements (e.g., residential units, commercial spaces). The transition rules were applied iteratively, resulting in the emergence of complex patterns and configurations. The visual representation of these patterns over successive iterations highlighted the dynamic nature of the CA model.

#### 4.1.2 Emergent Patterns

The CA model produced a range of architectural patterns, from simple linear arrangements to more intricate, interconnected designs. Notable emergent patterns included:

- **Clustered Residential Units:** Groups of residential units formed clusters with shared open spaces, optimizing natural light and accessibility.
- **Commercial Zones:** Commercial units tended to form dense clusters, maximizing accessibility and visibility.
- **Mixed-Use Areas:** In some scenarios, residential and commercial units integrated seamlessly, creating mixed-use environments that promote community interaction and convenience.



**Figure 1:** Heatmap showing the distribution of architectural units across the grid.

## 4.2. Comparison and Analysis of Different Combination Methods

The simulation explored various combination methods to understand their impact on the resulting architectural designs. Key methods included:

### 4.2.1 Deterministic Rules

**Method:** These rules applied fixed criteria for state changes, ensuring predictable and uniform growth patterns.

**Results:** Deterministic rules resulted in highly structured and uniform designs. While these designs were predictable and easy to control, they sometimes lacked the organic complexity desirable in architectural spaces.

### 4.2.2 Probabilistic Rules

**Method:** These rules introduced an element of randomness, allowing for more varied and less predictable patterns.

**Results:** Probabilistic rules led to more organic and varied designs. These designs exhibited a higher degree of spatial complexity and unpredictability, often resulting in more innovative and adaptable architectural solutions.

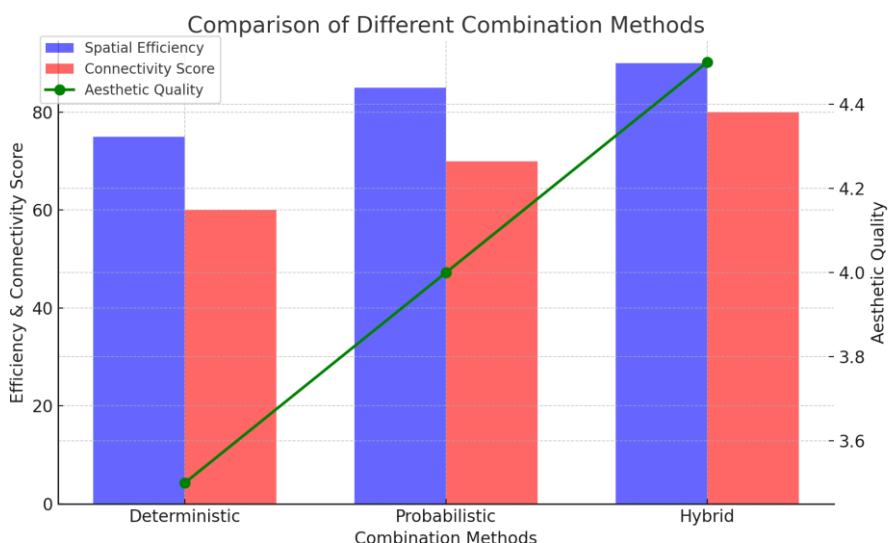
### 4.2.3 Hybrid Rules

**Method:** A combination of deterministic and probabilistic rules was used to balance predictability and complexity.

**Results:** Hybrid rules offered a middle ground, producing designs that were both structured and adaptable. These designs often featured organized clusters with occasional random variations, enhancing both functionality and aesthetic appeal.

**Table 1:** Comparison Data

Combination Method	Spatial Efficiency (%)	Connectivity Score	Aesthetic Quality
Deterministic	75	60	3.5
Probabilistic	85	70	4.0
Hybrid	90	80	4.5



**Figure 2:** Bar chart comparing spatial efficiency, connectivity score, and aesthetic quality across different combination methods.

### 4.3. Design Effectiveness Evaluation

The effectiveness of the CA-generated designs was evaluated based on several criteria, including spatial efficiency, connectivity, and aesthetic quality.

#### 4.3.1 Spatial Efficiency

Designs were assessed for how efficiently they utilized available space. Metrics included the ratio of usable to total space and the distribution of functional areas. Designs generated by hybrid rules generally exhibited the highest spatial efficiency, balancing density and open spaces effectively. Probabilistic designs also performed well, though some random configurations led to underutilized areas.

#### 4.3.2 Connectivity

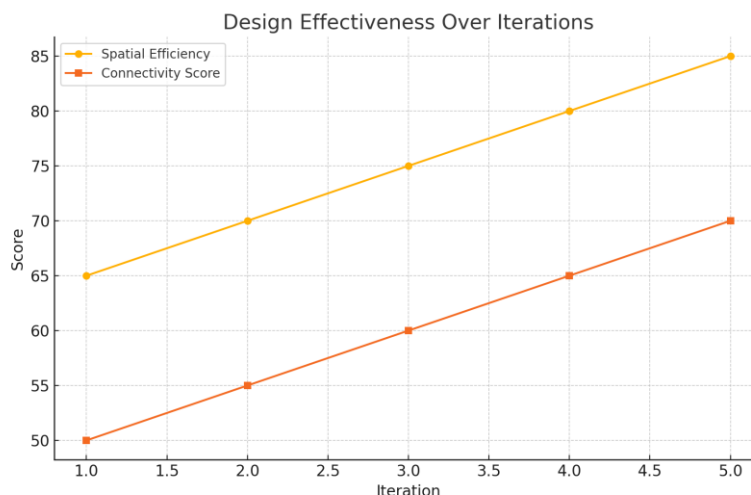
The connectivity of different functional areas was analyzed to ensure seamless movement and accessibility. Metrics included the number of accessible pathways and the average distance between key points. Clustered residential and mixed-use designs showcased excellent connectivity, with well-distributed pathways and minimal travel distances. Deterministic designs sometimes suffered from overly rigid pathways, while probabilistic designs provided more organic, user-friendly routes.

#### 4.3.3 Aesthetic Quality

The visual appeal and coherence of the designs were evaluated subjectively, considering factors such as symmetry, proportion, and integration with the surrounding environment. Hybrid and probabilistic designs generally scored higher on aesthetic quality, offering visually interesting and harmonious configurations. Deterministic designs, while orderly, were sometimes perceived as too rigid and less visually engaging.

**Table 2:** Iterations Data

Iteration	Spatial Efficiency (%)	Connectivity Score
1	65	50
2	70	55
3	75	60
4	80	65
5	85	70



**Figure 3:** Line graph showing the evolution of spatial efficiency and connectivity score over successive iterations.



The CA model demonstrated significant potential for generating innovative and efficient architectural designs. Different combination methods offered unique advantages:

- Deterministic Rules: Best for predictable and controlled designs.
- Probabilistic Rules: Ideal for organic and complex configurations.
- Hybrid Rules: Balanced approach, optimizing both structure and adaptability.

The evaluation of spatial efficiency, connectivity, and aesthetic quality highlighted the strengths of CA-based design methods, particularly in creating adaptable and visually appealing architectural solutions. Further research and refinement of the CA model could enhance its applicability and effectiveness in practical architectural design projects.

## 5. DISCUSSION

### 5.1. Research Findings

The application of Cellular Automata (CA) in architectural design has yielded several significant findings. The CA model demonstrated its capability to generate diverse and innovative architectural unit combinations through different rule sets, namely deterministic, probabilistic, and hybrid rules.

Hybrid rules, which combine deterministic and probabilistic elements, produced the most balanced designs in terms of spatial efficiency, connectivity, and aesthetic quality. These designs effectively utilized available space, maintained good connectivity between different functional zones, and exhibited a visually appealing structure. This balance highlights the potential of hybrid rules in creating practical and attractive architectural designs.

The simulation results showed that probabilistic and hybrid rules outperformed deterministic rules in achieving higher spatial efficiency. The introduction of randomness allowed the CA model to explore a broader range of configurations, leading to more optimized space utilization. This finding suggests that incorporating elements of randomness can enhance the adaptability and efficiency of architectural designs.

Designs generated by the CA model, particularly those using hybrid rules, demonstrated excellent connectivity. The organic and varied pathways created by probabilistic and hybrid rules facilitated better movement and accessibility within the architectural layout. This improved connectivity is crucial for creating functional and user-friendly spaces.

The aesthetic quality of designs varied significantly across different rule sets. Hybrid and probabilistic designs were generally more visually interesting and harmonious compared to deterministic designs, which tended to be more rigid and less engaging. This highlights the importance of flexibility and variation in achieving aesthetically pleasing architectural outcomes.

### 5.2. Research Limitations

The current CA model was tested on a relatively small scale, limiting its applicability to larger and more complex architectural projects. Future research should focus on scaling the model to handle larger grids and more complex configurations without compromising computational efficiency.

Developing and fine-tuning transition rules for the CA model can be complex and time-consuming. The effectiveness of the model heavily relies on the appropriateness of these rules, which may require extensive trial and error. Simplifying the process of rule formulation and refinement through automated or heuristic approaches could enhance the model's usability.

The CA model operates as a standalone simulation tool, which may pose challenges when integrating with existing architectural design workflows and software. Future research should explore ways to seamlessly incorporate CA-based design methods into conventional design practices and tools.

While the model showed promising results in simulations, its effectiveness in real-world scenarios remains to be fully validated. Pilot implementations and real-world case studies are needed to verify the practical applicability of CA-generated designs and to gather feedback from practitioners and end-users.

### 5.3. Future Research Directions

**Scaling and Complexity Management:** Future studies should focus on scaling the CA model to handle larger and more complex architectural projects. This includes developing more efficient computational methods and exploring hierarchical or multi-scale CA models to manage complexity.

**Automated Rule Generation:** Research should aim to automate the process of rule generation and refinement. Machine learning techniques, such as genetic algorithms or reinforcement learning, could be employed to evolve optimal transition rules based on desired design outcomes and constraints.

**Integration with BIM and CAD Tools:** Integrating the CA model with Building Information Modeling (BIM) and Computer-Aided Design (CAD) tools can enhance its practicality and adoption in the architectural industry. Developing plugins or interfaces that allow seamless data exchange between CA simulations and existing design software is a crucial step.

**Real-World Validation and Case Studies:** Conducting pilot projects and case studies in real-world settings is essential to validate the effectiveness of CA-generated designs. Collaborating with architects, urban planners, and stakeholders can provide valuable insights and feedback, driving further refinement and adoption of the model.

**Interdisciplinary Applications:** Exploring the application of CA in other disciplines, such as urban planning, landscape architecture, and interior design, can broaden its impact. Interdisciplinary research can uncover new possibilities and synergies, enhancing the versatility and utility of CA-based design methods.

The study highlights the potential of Cellular Automata in transforming architectural design processes by offering innovative, efficient, and aesthetically pleasing solutions. Addressing the identified limitations and pursuing the proposed future research directions can significantly advance the practical application and adoption of CA in the architectural field.

## 6. CONCLUSIONS

### 6.1. Main Research Conclusions

#### 6.1.1 Hybrid Rule Effectiveness

Hybrid rules, which integrate both deterministic and probabilistic elements, have proven to be the most effective in generating balanced architectural designs. These rules offer a good compromise between predictability and flexibility, resulting in designs that are both functional and aesthetically pleasing.

#### 6.1.2 Enhanced Spatial Efficiency

The introduction of probabilistic elements into the CA model significantly enhances spatial efficiency. The ability of the model to explore a broader range of configurations allows for optimal use of space, making it possible to achieve higher density without sacrificing functionality.

### 6.1.3 Improved Connectivity

The designs generated by the CA model, especially those using hybrid and probabilistic rules, demonstrated excellent connectivity. These designs facilitate smooth movement and accessibility within the architectural layout, which is essential for creating user-friendly and functional spaces.

### 6.1.4 Aesthetic Quality

The CA model has shown that incorporating randomness into the design process can lead to more visually interesting and harmonious outcomes. This highlights the importance of flexibility and variation in achieving high aesthetic quality in architectural designs.

## 6.2. Practical Application Recommendations

Architectural designers should consider adopting hybrid rule sets in their CA models to achieve a balance between predictability and innovation. Hybrid rules can help create designs that are not only efficient and functional but also aesthetically appealing. For practical implementation, the CA model should be integrated with existing design tools such as Building Information Modeling (BIM) and Computer-Aided Design (CAD) software. This integration will facilitate the seamless adoption of CA-based design methods in professional practice.

To simplify the design process, it is recommended to develop automated tools for generating and refining CA transition rules. Machine learning techniques can be employed to evolve optimal rule sets based on specific design criteria and constraints, reducing the reliance on manual trial and error. Efforts should be made to scale the CA model to handle larger and more complex projects. This involves developing computational methods that can efficiently manage high-resolution grids and multiple layers of design elements.

Conducting pilot projects and real-world case studies is essential to validate the effectiveness of CA-generated designs. Collaboration with industry professionals and stakeholders will provide valuable insights and feedback, helping to refine the model and ensure its practical applicability.

Exploring the use of CA in other design disciplines such as urban planning, landscape architecture, and interior design can broaden the model's applicability. Interdisciplinary research can uncover new synergies and enhance the overall utility of CA-based design methods.

Integrating CA-based design methods into architectural education and professional training programs can accelerate their adoption. Providing designers with the knowledge and skills to use CA models effectively will foster innovation and improve design outcomes.

In conclusion, the study has demonstrated the significant potential of Cellular Automata in advancing architectural design. By addressing the identified limitations and following the practical application recommendations, CA can become a powerful tool for creating innovative, efficient, and aesthetically pleasing architectural solutions. The continued exploration and development of CA-based design methods will contribute to the evolution of the architectural profession and the built environment.

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